



2 Waterside Crossing, Suite 102, Windsor, CT 06095 phone 860.903.0000 fax 860.903.0001 www.businessriskpartners.com

Please complete the application by either entering the required information directly from your keyboard, or printing the application and entering the information by hand. You will need Adobe Acrobat Reader Version 4.0 (at minimum). If you are using version 3.0, you can upgrade it for free at www.adobe.com. Fax or e-mail the completed application to Business Risk Partners at the address noted above.

GENERAL INFORMATION

1. Company Name (Applicant) Street City State Zip Telephone Fax E-mail Address Website

2. Please list the states in which the Applicant provides services.

3. Please provide a brief description of the professional services for which coverage is desired.

REVENUE BREAKDOWN

4. Please list the professional services that the Applicant provides and the % of revenue generated by each service.

Table with 2 columns: Professional Service, Percentage of Revenue

DESCRIPTION OF BUSINESS

5. Please indicate the total revenue for the following fiscal years for both the Applicant and any subsidiaries performing professional services sought to be covered under this policy.

Current Year: \_\_\_\_\_

Last Year: \_\_\_\_\_

Next Year (projected): \_\_\_\_\_

6. How many years has the Applicant been in business? \_\_\_\_\_

7. Please indicate the Applicant's total number of employees. \_\_\_\_\_

8. How many of these employees provide professional services directly to clients? \_\_\_\_\_

9. Does the Applicant provide professional services to any client/customer that represents more than 20% of the Applicant's gross annual revenue?  No  Yes

10. Is the Applicant controlled or owned by, or associated or affiliated with, or does it own any other firm business enterprise? If yes, please explain:  No  Yes

\_\_\_\_\_  
\_\_\_\_\_

11. Does the Applicant have a contract in place with clients?

All of the time  Most of the time  Some of the time  Never

12. Do the Applicant's contracts contain indemnification/hold-harmless clauses running in its favor?

All of the time  Most of the time  Some of the time  Never

13. Does the Applicant do business through independent contractors?

All of the time  Most of the time  Some of the time  Never

14. Does the Applicant contractually require independent contractors to maintain E&O insurance?

All of the time  Most of the time  Some of the time  Never

15. Have any of the Applicant's owners, principals, directors, officers or employees ever been the subject of an investigation, disciplinary or criminal action as a result of their professional activities?

No  Yes

If you answered "yes" to the above question, please describe:

16. Have any professional liability claims ever been made against the Applicant, Applicant's owners, principals, directors, officers or employees?

No

Yes

If you answered "yes" to the above question, please describe including name of claimant; type of service provided and allegation made; date claim was made; demand amount and final disposition including indemnity and expense amounts:

17. Does the Applicant or do the Applicant's owners, principals, directors, officers or employees have any knowledge or information of any act, error or omission which might reasonably give rise to a claim against any potential insured or its predecessors in business?

No

Yes

If you answered "yes" to the above question, please describe:

It is understood and agreed that if the answer to the previous three queries is "yes", any such claim or potential claim is specifically excluded from this proposed coverage.

18. List any industry associations/memberships with which the Applicant is affiliated.

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19. Please indicate desired coverage terms.

Limit \_\_\_\_\_

Retention \_\_\_\_\_

Retro-Date \_\_\_\_\_

*If no retroactive date is selected, proposed coverage will begin on the policy effective date.*

20. Please attach any additional information we may find helpful in evaluating your risk.

In addition, please attach any special coverage requests.

21. OPTIONAL: In order to best meet your coverage needs, please provide the following information about the Applicant's current policy.

Carrier \_\_\_\_\_  
Limit \_\_\_\_\_  
Retention \_\_\_\_\_  
Premium \_\_\_\_\_  
Retro Date \_\_\_\_\_  
Expiration \_\_\_\_\_

**NOTICE TO APPLICANT: PLEASE READ CAREFULLY**

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**Warranty:** The undersigned warrants that the information contained herein is true as of the date this application is executed and understands that it shall be the basis of the policy of insurance and deemed incorporated herein if the Insurers accept this application by issuance of a policy. It is understood and agreed that this warranty constitutes a continuing obligation to report to the Insurers, as soon as possible, any material change in the circumstances of the Applicant's business including, but not limited to the size of the firm, the area of business engaged in by the firm and the information contained on each Supplemental application submitted by the Applicant.

Any person who knowingly and with intent to defraud any insurance company or any other person files an application for insurance containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

SIGNATURE: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_



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- 1. Company Name (full legal)
Contact Name:
Street:
City: State: Zip:
Telephone: Fax:
E-mail Address:
Web Address:
2. Please list all states in which the Applicant operates:
3. How many years has the Applicant been in business?
4. Please describe the professional services for which coverage is desired:
5. Please indicate the total revenue derived from your company for the following fiscal years:
Current Year: \$ Last Year: \$ Next Year (projected): \$
6. What percentage of your business is derived from the following:
Mortgage Field Rep Inspections:
Property Preservation Services:
7. Please indicate the total number of employees providing mortgage field inspection services:
8. Please indicate the total number of employees providing property preservation services:
9. Please indicate the total number of Independent Contractors performing mortgage field inspections and/or property preservation services for the company:
A.) Does the Applicant want coverage for these Independent Contractors?
B.) If No, will you require Independent Contractors to carry/maintain E&O Insurance?
10. How many mortgage field inspections does the applicant perform annually?
11. How many property preservation jobs does the applicant perform annually?
12. Please indicate the average value of properties preserved/inspected annually:

13. What percentage of the applicant's receipts are derived from the following areas (please answer A & B):

**A (must equal 100%)**

Residential Properties: \_\_\_\_\_  
Commercial Buildings: \_\_\_\_\_

**B (must equal 100%)**

Lending institutions/banks: \_\_\_\_\_  
Real estate agencies: \_\_\_\_\_  
Private Homeowners \_\_\_\_\_  
Other (please explain): \_\_\_\_\_

14. Is the Applicant controlled or owned by, or associated or affiliated with, or does it own any other firm business enterprise?  Yes  No

If Yes, please explain:

\_\_\_\_\_  
\_\_\_\_\_

15. Have any of the Applicant's owners, principals, directors, officers or employees ever been the subject of an investigation, disciplinary or criminal action as a result of their professional activity?  Yes  No

If Yes, please describe:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

16. Have any professional liability claims ever been made against the Applicant, Applicant's owners, principals, directors, officers or employees?  Yes  No

\*If you answered Yes to the above question, please describe including name of claimant; type of service provided and allegation made, date claim was made, demand amount and final disposition including indemnity and expense amount:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. Does the Applicant or do the Applicant's owners, principals, directors, officers or employees have any knowledge or information of any act, error or omission which might reasonably give rise to a claim against any potential insured or its predecessors in business?  Yes  No

\*If Yes, please describe:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

18. List any industry associations/memberships with which the Applicant is affiliated:

\_\_\_\_\_  
\_\_\_\_\_

19. Does the applicant currently carry professional liability insurance?  Yes  No

\*If yes and in order to best meet your insurance coverage needs, please provide the following information about your current professional liability policy:

Carrier: \_\_\_\_\_ Premium: \_\_\_\_\_  
Limit: \_\_\_\_\_ Retroactive Date: \_\_\_\_\_  
Retention: \_\_\_\_\_ Expiration: \_\_\_\_\_

**NOTICE TO APPLICANT ~ PLEASE READ CAREFULLY:**

**Warranty:** The applicant warrants that the information contained herein is true as of the date of this application is executed and understands that it shall be the basis of the policy of insurance and deemed incorporated herein if the Insurers accept this application by issuance of a policy. It is understood and agreed that this warranty constitutes a continuing obligation to report to the Insurers, as soon as possible, any material change in the circumstances of the applicant's business, including but not limited to size of the firm, area of business engaged in by the firm and information contained on each Supplemental application submitted by the applicant.

Any person who knowingly and with intent to defraud any insurance company or any other person files an application for insurance containing any materially false information or conceals for the purpose of misleading, the information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**THIS APPLICATION MUST BE SIGNED AND DATED BY AN OWNER, OFFICER OR PARTNER.**

Applicant Signature: \_\_\_\_\_ Date (Mo-Day-Yr): \_\_\_\_\_

Name and Title (Please Print): \_\_\_\_\_