



**EXTERIOR INSULATION AND FINISH SYSTEMS (EIFS)
CONTRACTOR SUPPLEMENTAL APPLICATION
(Use with Contractor Application)**

| a Berkley Company

INSTRUCTIONS:

1. All application questions must be fully answered. If more space is needed, continue on a separate sheet of applicant's letterhead and indicate the question number.
2. If a question does not apply, write "N / A".

I. GENERAL INFORMATION

A. Applicant name: _____

B. States in which the applicant performs EIFS work and percentage of total EIFS work in each state:

C. List gross sales, payroll and subcontracted costs **for EIFS work only**.

	GROSS SALES	PAYROLL	SUBCONTRACTED COSTS
Next 12 months:	\$	\$	\$
1 st year prior (20____)	\$	\$	\$
2 nd year prior (20____)	\$	\$	\$
3 rd year prior (20____)	\$	\$	\$
4 th year prior (20____)	\$	\$	\$

D. 1. EIFS operations breakdown expected for upcoming policy year:

	NEW CONSTRUCTION	REMOVAL / REPAIR / RENOVATION
Residential	%	%
Commercial	%	%
Other:	%	%

2. Residential operations breakdown:

	NEW	EXISTING
Custom single family	%	%
Single family tract homes	%	%
Duplex / triplex / quads	%	%
Townhomes ≤ 6 units	%	%
Townhomes ≥ 6 units and condominiums	%	%

3. Commercial operations breakdowns:

Apartments	%
All other commercial	%

E. If working on new construction of tract homes, what is the maximum size tract development you will work on? _____ units / homes

F. Have you, or will you, work on condominium conversions? Yes No

If "Yes", what percentage of your gross sales for the next 12 months is attributed to conversions?

G. Have you, or will you, ever work for Homeowners or Condo Association? Yes No

If "Yes", are developments or structures less than 10 years old? Yes No

If "Yes", what operations do you perform?

H. Do you repair the work of others? Yes No

I. Describe applicant's three (3) largest EIFS jobs or projects during the last three (3) years. Provide details on the following: 1) project / client name; 2) services performed for the client; 3) contract cost for those services; 4) date completed by applicant.

J. Describe current EIFS jobs or projects in progress or planned for the next year. Provide details on the following: 1) project / client name; 2) services performed for the client; 3) contract cost for those services; 4) expected completion date.

K. Which type of EIFS systems are used by the applicant and applicant's subcontractors?

	RESIDENTIAL	COMMERCIAL
Barrier wall system	%	%
Drainable EIFS	%	%

L. List the manufacturer and specific product or system for all materials used by the applicant. Note: that coverage is provided on a scheduled manufacturer and product basis.

MANUFACTURER	PRODUCT / SYSTEM

M. Does the applicant, and any subcontractor, get a full warranty from the manufacturer on all EIFS products and systems used? Yes No

- N.** Have all personnel involved with EIFS operations, including job supervisors employed by you and your independent contractors or subcontractors, successfully completed EIFS installation training programs provided by the manufacturer or the AWCI "Doing It Right" training for all products used? Yes No

If "No", explain:

- O.** Which industry education and training programs you / your employees have completed?

1. "EIFS Industry Professional" training? Yes No
2. Are you an "EIFS SMART" recognized contractor? Yes No
3. "EIFS Doing It Right" training? Yes No
4. Other:
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- P.** Specific to subcontracted operations:

1. Are Certificates of Insurance evidencing general liability coverage, including coverage for EIFS operations and completed operations, required from all subcontractors? Yes No
2. What limits of insurance are required from the subcontractors?
Occurrence: \$ _____ Aggregate: \$ _____
3. Do you require additional coverage, including coverage for Completed Operations, on all subcontractors' general liability coverage? Yes No
- Is this requirement part of the written contract? Yes No
4. Do you require all subcontractors to defend, indemnify and hold you harmless from their activities and is this part of the written contract? Yes No

- Q.** Does applicant currently maintain Commercial General Liability insurance including coverage for EIFS? Yes No

If "Yes":

Name of Insurer: _____

Description of services covered: _____

Expiration date: _____ Prior acts / Retro. date: _____

Limits: _____ Deductible: _____ Premium: _____

Length of time coverage has been in-force: _____

- Are there any coverage limitations on the EIFS coverage? Yes No

If "Yes", explain:

II. LITIGATION AND CLAIM INFORMATION

- A. Has the applicant or any other person or entity proposed for this insurance Been involved in, have knowledge of, or should have known of any pending or completed governmental, regulatory, investigative or administrative proceedings? Yes No

If "Yes", explain:

- B. After inquiry have any claims been made against the applicant or any other person or entity proposed for this insurance during the past five (5) years? Yes No

If "Yes", how many claims have been made in the past five (5) years? _____

Explain on a separate sheet of applicant's letterhead and attach.

- C. Have there been during the last five (5) years, or are there now pending, any civil, criminal, administrative or arbitration proceedings, in which bodily injury or property damage was alleged, brought against applicant and / or any of its directors, officers and / or employees, its predecessors, subsidiaries, affiliates, employees and / or any other person or entity proposed for this insurance? Yes No

If "Yes", explain on a separate sheet of applicant's letterhead and attach.

- D. Does the applicant or any other person or entity proposed for this insurance have knowledge of any occurrence, bodily injury, property damage, act, circumstance, error or omission which might reasonably be expected to give rise to a claim against him / her, the applicant firm or any predecessor firm? Yes No

If "Yes", provide completed supplemental Claim Information on a separate sheet of applicant's letterhead and attach.

- E. Has any insurance ever been cancelled or non-renewed for any similar insurance ever issued to the applicant? Yes No

III. FRAUD WARNINGS

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects that person to criminal and civil penalties (In Oregon, the aforementioned actions may constitute a fraudulent insurance act which may be a crime and may subject the person to penalties). (In New York, the civil penalty is not to exceed five thousand dollars (\$5,000) and the stated value of the claim for each such violation.) **(Not applicable in AL, AR, AZ, CO, DC, FL, KS, LA, ME, MD, MN, NM, OK, PR, RI, TN, VA, VT, WA and WV.)**

Applicable in AL, AR, AZ, DC, LA, MD, NM, RI and WV: Any person who knowingly (or willfully in MD) presents a false or fraudulent claim for payment of a loss or benefit or who knowingly (or willfully in MD) presents false information in an application for insurance is guilty of a crime and may be subject to fines or confinement in prison.

Applicable in Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Applicable in Florida and Oklahoma: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony. (In FL, a person is guilty of a felony of the third degree.)

Applicable in Kansas: Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

Applicable in Maine, Tennessee, Virginia and Washington: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

Applicable in Puerto Rico: Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation by a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established may be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

IV. REPRESENTATIONS

Applicant understands that the statements and answers furnished to the Company in this application and in connection with this application are representations of applicant and are also made on behalf of all persons and entities in or related to applicant's business, and the undersigned represents that they have the authority to make these representations and sign this application.

The responses to the questions in this application, and the documentation and information provided to the Company in connection with this application, are true, accurate, and complete to the best of the applicant's knowledge and belief. Applicant intends for the Company to rely upon this application and the documentation and information provided in connection with this application, and acknowledges and understands that any policy issued will be issued in reliance on the truthfulness and completeness of the information and statements contained herein. The undersigned agrees and acknowledges that any material or willful misrepresentation, any material or willful omission, and any materially or intentionally inaccurate statement is grounds for the Company, in its sole and absolute discretion, to void and/or rescind any policy issued by the Company.

It is further understood and agreed that there shall be no coverage afforded for any claim arising from, related to, or impacted by: (a) any material or willful misrepresentation, any material or willful omission, or any materially or intentionally inaccurate statement made in connection with any response to the questions in **Section II. Litigation and Claim information** above; and (b) any knowledge or information that should have been disclosed in response to the questions in **Section II. Litigation and Claim Information** above, but was not disclosed.

V. NOTICE AND ACKNOWLEDGEMENTS

Vela Insurance Services, a W. R. Berkley Company, is authorized to make any inquiry in connection with this application. Applicant understands that while Vela Insurance Services and/or the Company will take commercially reasonable efforts to keep this information confidential (subject to standard industry practice and applicable law), this application is not a privileged document and its contents may be disclosed during litigation or as a result of statutory or regulatory action or events.

This application, and all documentation and information provided in connection with this application, and all previous applications of which Vela Insurance Services and/or the Company receives notice is on file with Vela Insurance Services.

Vela Insurance Services and/or the Company will have relied upon this application and all documentation and information submitted in connection with this application in issuing any policy to the applicant or any other person to be insured in connection with this application.

Signing this application does not bind Vela Insurance Services or the Company to provide or the applicant to purchase the insurance.

VI. WARRANTY AND CERTIFICATION

By execution hereof, the undersigned agrees to the foregoing and affirms the representations made herein. The undersigned warrants that reasonable efforts have been made to obtain sufficient information to facilitate the proper and accurate completion of this application. The undersigned further warrants to Vela Insurance Services and the Company that, to the best of his/her knowledge and belief, after reasonable inquiry, this application and all documentation and information provided in connection with this application is true and complete, and may and should be relied upon by Vela Insurance Services and/or the Company.

I/We authorize the release of claim information from any other or prior insurer to Vela Insurance Services and/or the Company.

BY EXECUTION HEREOF, APPLICANT AFFIRMS THAT ALL STATEMENTS MADE IN THE APPLICATION FOR INSURANCE ARE TRUE AND CORRECT AS OF THIS DATE. Furthermore, should applicant become aware of a material change or omission relative to the information provided in this application occurs between now and the effective date of any policy issued by Vela Insurance Services and the Company, written notice of such change will be provided immediately, and application agrees that failure to provide such written notice is grounds for Vela Insurance Services and/or the Company, in its sole and absolute discretion, to modify or withdraw any outstanding quotation or agreement to bind coverage or to void and/or rescind any policy issued by Vela Insurance Services and the Company.

Electronically reproduced signatures will be treated as original.

Name of applicant:		
Signature of person authorized to execute on behalf of the applicant:		Date:
Print name and title of person authorized to execute on behalf of the applicant:		
Name and address of broker:		

A copy of this application should be retained for your records.